

# Interpolant Strength Revisited

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SAT'12, Trento

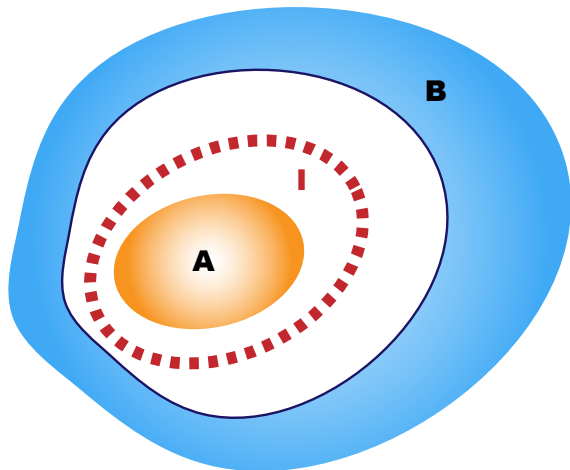


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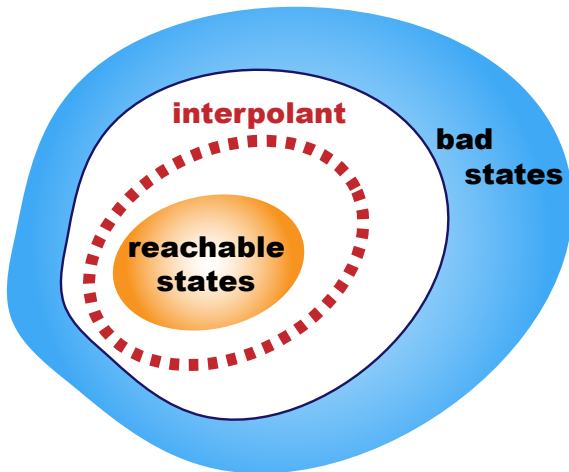
## What is a Craig Interpolant?

*Craig-Robinson interpolant* for inconsistent (first-order) conjunction  $A \wedge B$ :

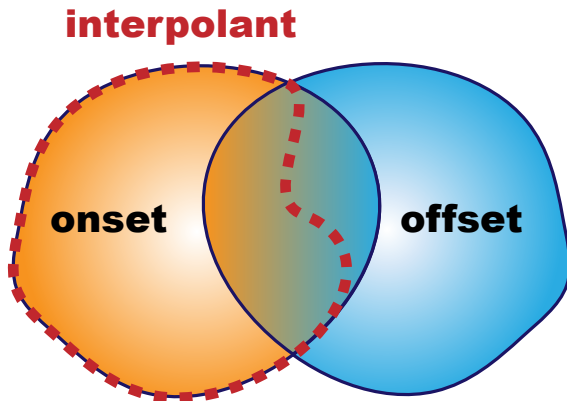
- $A \Rightarrow I$  and  $I \wedge B$  inconsistent
- all non-logical symbols in  $I$  occur in  $A$  as well as in  $B$



- approximate image computation
- Interpolant *separates* “good states” from “bad states”



- Given: circuit specification  $R : \text{Inputs} \times \text{Output} \rightarrow \mathbb{B}$
- Wanted: functional implementation  $I : \text{Inputs} \rightarrow \mathbb{B}$
- Interpolant *separates* “onset” from “offset”



# Existing Propositional Interpolation Techniques

- Extract interpolants from resolution proofs
- One interpolant per proof:
  - Proof determines resulting interpolant

proof →



→ interpolant

- **Do not allow for variation of resulting interpolant!**

- Background
  - Pudlák's Interpolation System
- Interpolation for Propositional Hyper-Resolution Proofs
  - Novel Interpolation Rules (enable *Variation*)
  - “Merge-Free” Resolution Chains
- Labelled Interpolation and Hyper-Resolution
- First-Order Logic Derivations and Interpolation for Hyper-Resolution

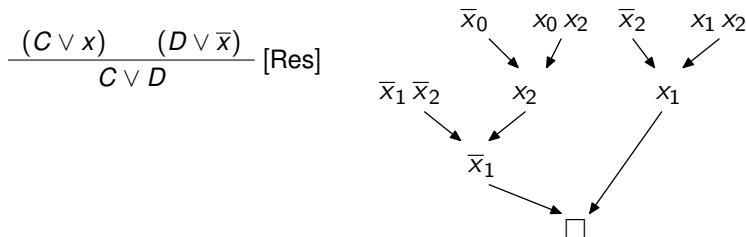
- CNF formula: A conjunction of clauses

$$\bigwedge_i \bigvee_j l_{i,j}, \quad l_{i,j} \in \{x, \bar{x} \mid x \in \text{Variables}\}$$

e.g.,

$$(\bar{x}_1 \vee \bar{x}_2) \wedge \bar{x}_0 \wedge (x_0 \vee x_2) \wedge \bar{x}_2 \wedge (x_1 \vee x_2)$$

- Resolution proofs



$$\underbrace{(\bar{x}_1 \vee \bar{x}_2) \wedge \bar{x}_0 \wedge (x_0 \vee x_2)}_A \quad \wedge \quad \underbrace{\bar{x}_2 \wedge (x_1 \vee x_2)}_B$$



$$\underbrace{(\bar{x}_1 \vee \bar{x}_2) \wedge \bar{x}_0 \wedge (x_0 \vee x_2)}_A \quad \wedge \quad \underbrace{\bar{x}_2 \wedge (x_1 \vee x_2)}_B$$

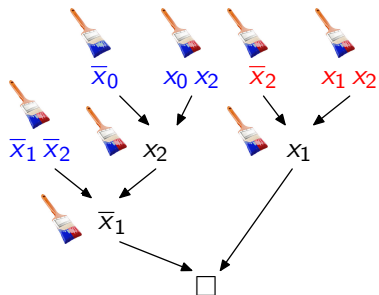
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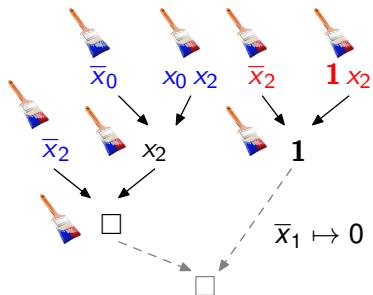
$$A \Rightarrow \bar{x}_1 \quad B \Rightarrow x_1 \quad x_1 \in \text{Var}(A) \cap \text{Var}(B)$$

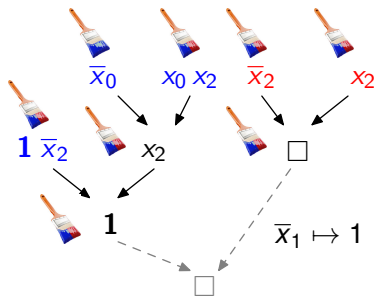
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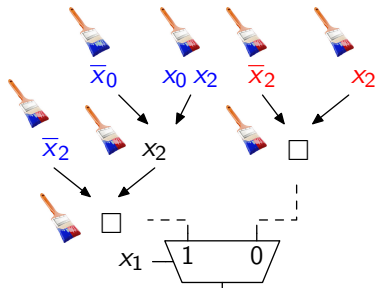
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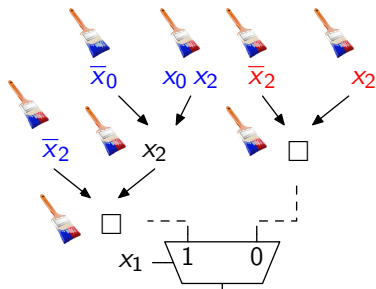
$$\begin{array}{ll} I \text{ is false} & (\bar{x}_1 \mapsto 0) \longrightarrow (A \wedge I) \quad \text{unsatisfiable} \\ I \text{ is true} & (\bar{x}_1 \mapsto 1) \longrightarrow (B \wedge \neg I) \quad \text{unsatisfiable} \end{array}$$











- Annotate *each clause*  $C$  in proof with *partial interpolant*  $I_C$ 
  - $A \wedge \neg I_C \Rightarrow C \setminus \{\ell \in C \mid \ell \text{ is } \text{brush}\}$
  - $B \wedge I_C \Rightarrow C \setminus \{\ell \in C \mid \ell \text{ is } \text{brush}\}$
  - $\text{Var}(I_C) \subseteq \text{Var}(A) \cap \text{Var}(B)$



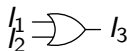
- Base case (initial vertices):


- If  $C \in A$ :  $I \stackrel{\text{def}}{=} 0$
- If  $C \in B$ :  $I \stackrel{\text{def}}{=} 1$

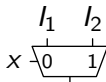
- Induction step (internal vertices):


$$\frac{C_1 \vee x \quad [I_1] \quad C_2 \vee \bar{x} \quad [I_2]}{C_1 \vee C_2 \quad [I_3]}$$

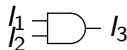
if  $x$  is   $I_3 \stackrel{\text{def}}{=} I_1 \vee I_2$

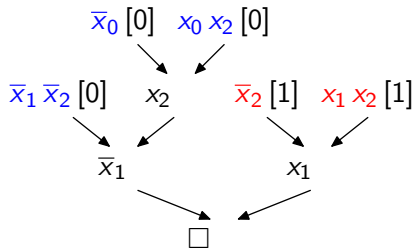


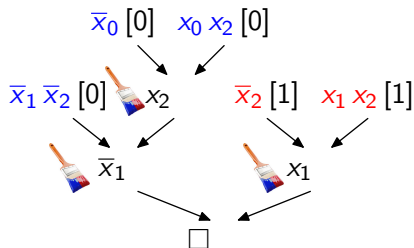
if  $x$  is   $I_3 \stackrel{\text{def}}{=} (x \vee I_1) \wedge (I_2 \vee \bar{x})$

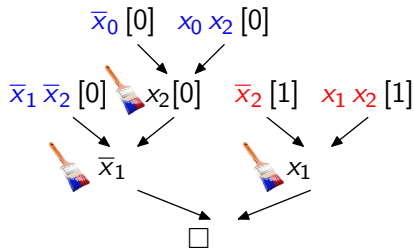


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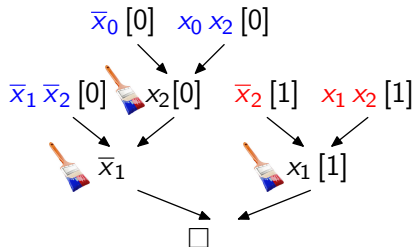




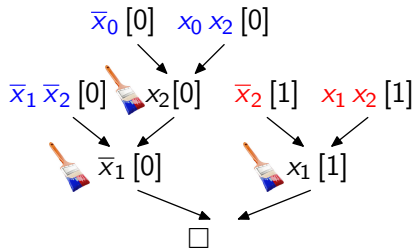




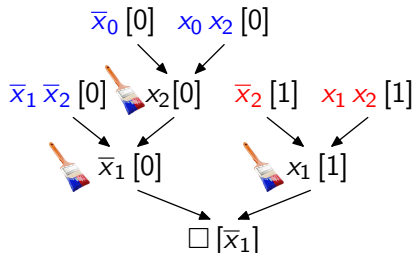
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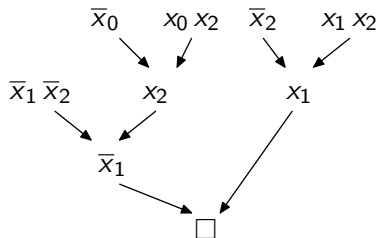
- $0 \vee 0 = 0$
- $(x_2 \vee 1) \wedge (\bar{x}_2 \vee 1) = 1$
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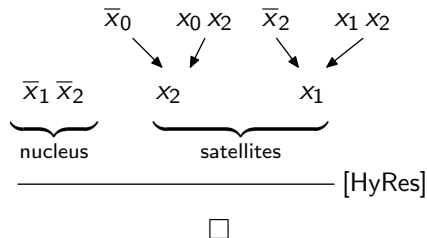


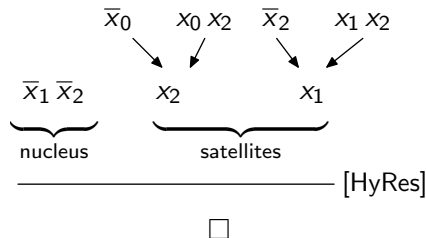
- $0 \vee 0 = 0$
- $(x_2 \vee 1) \wedge (\bar{x}_2 \vee 1) = 1$
- $(x_2 \vee 0) \wedge (\bar{x}_2 \vee 0) = 0$
- $(x_1 \vee 1) \wedge (\bar{x}_1 \vee 0) = \bar{x}_1$

- Background
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- **Interpolation for Propositional Hyper-Resolution Proofs**
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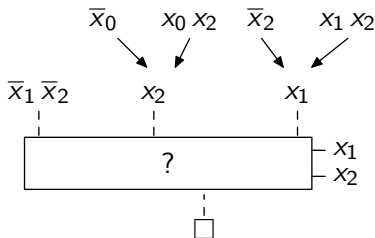






$$\frac{\overbrace{(C_1 \vee x_1) \cdots (C_n \vee x_n)}^{\text{satellites}} \quad \overbrace{(\bar{x}_1 \vee \cdots \vee \bar{x}_n \vee D)}^{\text{nucleus}}}{\bigvee_{i=1}^n C_i \vee D} \quad \text{[HyRes]}$$


- condensation of a derivation consisting of several resolutions



$$\frac{\overbrace{(C_1 \vee x_1) \cdots (C_n \vee x_n)}^{\text{satellites}} \quad \overbrace{(\bar{x}_1 \vee \cdots \vee \bar{x}_n \vee D)}^{\text{nucleus}}}{\bigvee_{i=1}^n C_i \vee D} \quad [\text{HyRes}]$$


- condensation of a derivation consisting of several resolutions

$$\frac{(C_1 \vee x_1) [I_1] \quad \cdots \quad (C_n \vee x_n) [I_n] \quad (\bar{x}_1 \vee \cdots \vee \bar{x}_n \vee D) [I_{n+1}]}{\bigvee_{i=1}^n C_i \vee D [I]}$$

if  $x_1, \dots, x_n$  are   $I \stackrel{\text{def}}{=} \bigwedge_{i=1}^n (x_i \vee I_i) \wedge (I_{n+1} \vee \bigvee_{i=1}^n \bar{x}_i)$

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if  $x_1, \dots, x_n$  are   $I \stackrel{\text{def}}{=} \bigvee_{i=1}^{n+1} I_i$


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# Interpolation for Hyper-Resolution Steps

$$\frac{(C_1 \vee x_1) [I_1] \quad \cdots \quad (C_n \vee x_n) [I_n] \quad (\bar{x}_1 \vee \cdots \vee \bar{x}_n \vee D) [I_{n+1}]}{\bigvee_{i=1}^n C_i \vee D [I]}$$

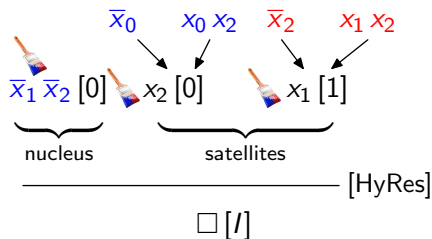
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if  $x_1, \dots, x_n$  are   $I \stackrel{\text{def}}{=} \bigwedge_{i=1}^{n+1} I_i$

not total!

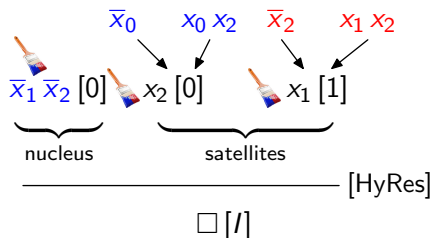
# Interpolation for Hyper-Resolution: Example Revisited



if  $x_1, \dots, x_n$  are   $I \stackrel{\text{def}}{=} \bigwedge_{i=1}^n (x_i \vee I_i) \wedge (I_{n+1} \vee \bigvee_{i=1}^n \bar{x}_i)$



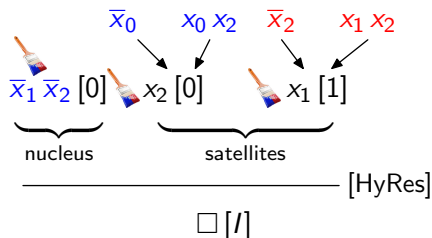
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•  $I \stackrel{\text{def}}{=} (x_1 \vee 1) \wedge (x_2 \vee 0) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee 0) \quad \equiv \quad \underline{x_2 \wedge (\bar{x}_1 \vee \bar{x}_2)}$


# Interpolation for Hyper-Resolution: Example Revisited



if  $x_1, \dots, x_n$  are  $I \stackrel{\text{def}}{=} \bigwedge_{i=1}^n (x_i \vee I_i) \wedge (I_{n+1} \vee \bigvee_{i=1}^n \bar{x}_i)$


- $I \stackrel{\text{def}}{=} (x_1 \vee 1) \wedge (x_2 \vee 0) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee 0) \equiv \underline{x_2 \wedge (\bar{x}_1 \vee \bar{x}_2)}$
- $I$  implies  $\bar{x}_1$  but is *not equivalent* (try  $x_1 = x_2 = 0$ )

$$\frac{(C_1 \vee x_1) [I_1] \quad \cdots \quad (C_n \vee x_n) [I_n] \quad (\bar{x}_1 \vee \cdots \vee \bar{x}_n \vee D) [I_{n+1}]}{\bigvee_{i=1}^n C_i \vee D \quad [I]}$$

if  $x_1, \dots, x_n$  are   $I \stackrel{\text{def}}{=} \begin{cases} \bigwedge_{i=1}^n (x_i \vee I_i) \wedge (I_{n+1} \vee \bigvee_{i=1}^n \bar{x}_i) \\ \text{or} \\ \bigvee_{i=1}^n (\bar{x}_i \wedge I_i) \vee (I_{n+1} \wedge \bigwedge_{i=1}^n x_i) \end{cases}$

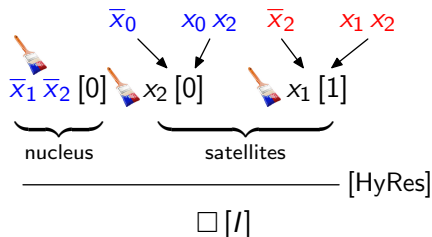
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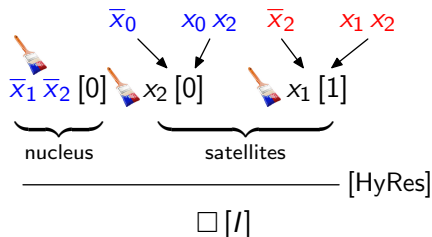
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# Interpolation for Hyper-Resolution: Example Revisited (Again)



if  $x_1, \dots, x_n$  are   $I \stackrel{\text{def}}{=} \bigvee_{i=1}^n (\bar{x}_i \wedge I_i) \vee (I_{n+1} \wedge \bigwedge_{i=1}^n x_i)$

# Interpolation for Hyper-Resolution: Example Revisited (Again)




if  $x_1, \dots, x_n$  are  $I \stackrel{\text{def}}{=} \bigvee_{i=1}^n (\bar{x}_i \wedge l_i) \vee (l_{n+1} \wedge \bigwedge_{i=1}^n x_i)$

•  $I \stackrel{\text{def}}{=} (\bar{x}_1 \wedge 1) \vee (\bar{x}_2 \wedge 0) \wedge (x_1 \wedge x_2 \wedge 0) \equiv \bar{x}_1$

- Base case (initial vertices):
  - If  $C \in A$ :  $I \stackrel{\text{def}}{=} 0$
  - If  $C \in B$ :  $I \stackrel{\text{def}}{=} 1$
- Induction step (internal vertices):

$$\frac{(C_1 \vee x_1) [I_1] \quad \cdots \quad (C_n \vee x_n) [I_n] \quad (\bar{x}_1 \vee \cdots \vee \bar{x}_n \vee D) [I_{n+1}]}{\bigvee_{i=1}^n C_i \vee D \quad [I]}$$

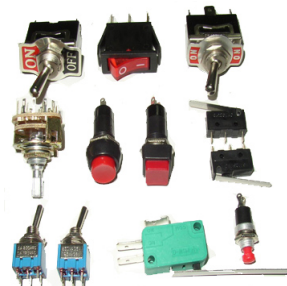
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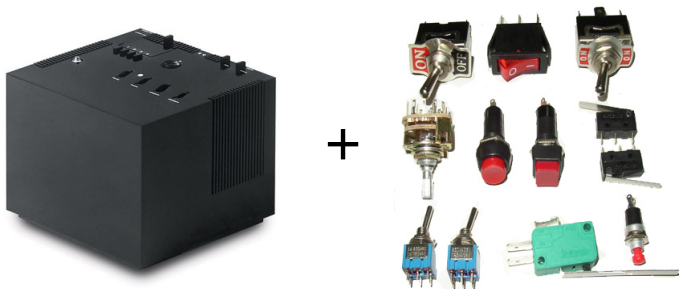
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- Interpolation for Hyper-Resolution provides us with a choice for each *inner* node of the proof



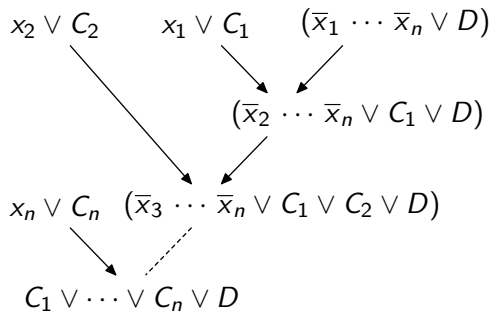
# Parametrised Interpolation System



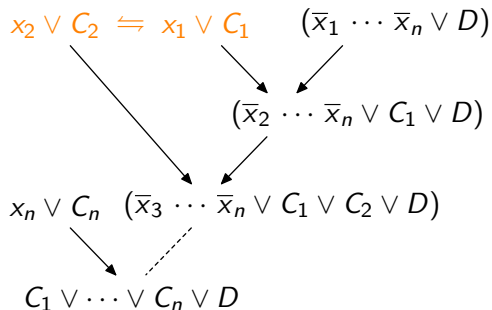
- Interpolation for Hyper-Resolution provides us with a choice for each *inner* node of the proof
- Moreover: Choice determines *strength* of interpolant

$$\begin{aligned} & \bigwedge_{i=1}^n (x_i \vee l_i) \wedge (l_{n+1} \vee \bigvee_{i=1}^n \bar{x}_i) \\ & \quad \Downarrow \\ & \bigvee_{i=1}^n (\bar{x}_i \wedge l_i) \vee (l_{n+1} \wedge \bigwedge_{i=1}^n x_i) \end{aligned}$$

# Hyper-Resolution Steps as Chains

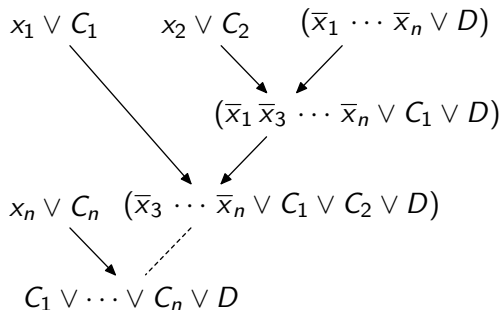


# Hyper-Resolution Steps as Chains



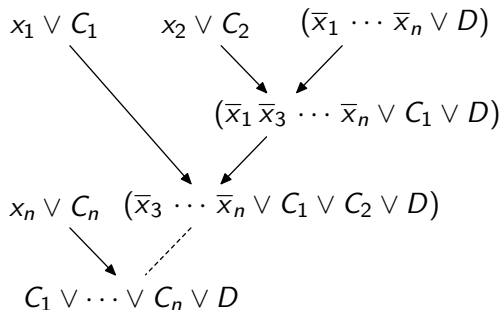
- May swap resolution steps if “merge-free” ( $\bar{x}_2 \notin C_1, \bar{x}_1 \notin C_2$ )

# Hyper-Resolution Steps as Chains



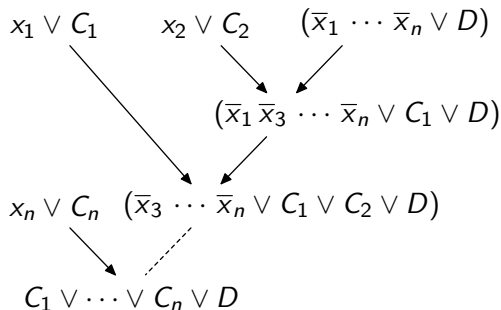
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# Hyper-Resolution Steps as Chains



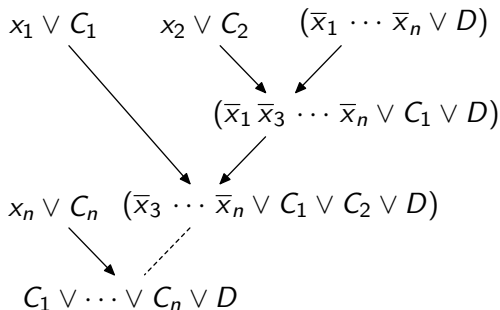
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  - Swapping affects *interpolant strength* [D’Silva et al. VMCAI’10]

## Hyper-Resolution Steps as Chains



- May swap resolution steps if “merge-free” ( $\bar{x}_2 \notin C_1, \bar{x}_1 \notin C_2$ )
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- If all steps can be swapped: *strongly merge free*

# Hyper-Resolution Steps as Chains



- May swap resolution steps if “merge-free” ( $\bar{x}_2 \notin C_1, \bar{x}_1 \notin C_2$ )
  - Swapping affects *interpolant strength* [D’Silva et al. VMCAI’10]
- If all steps can be swapped: *strongly merge free*
  - Strongly merge-free chains correspond to hyper-resolution (sufficient but not necessary condition)

# Completeness of Interpolation System: Splitting Chains

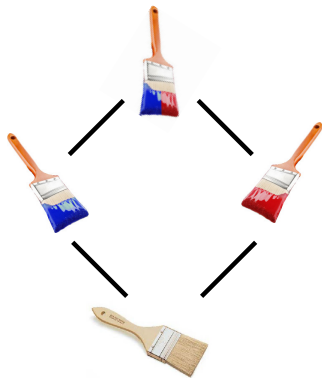
- pivots in chains may not be *uniformly* labelled
- can be resolved by *splitting* hyper-resolution step
  - at most one step for each of  $\{ \text{blue/red}, \text{red/red}, \text{blue/blue} \}$

$$\begin{array}{c}
 \begin{array}{c}
 \text{blue/red} \quad \text{red/red} \quad \text{blue/blue} \quad \text{red/red} \quad \text{blue/red} \quad \text{red/red} \quad \text{blue/blue} \quad \text{red/red} \\
 (x_1 \vee C_1) (x_2 \vee C_2) (x_3 \vee C_3) (x_4 \vee C_4) \quad (\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_3 \vee \bar{x}_4 \vee D) \\
 \hline
 C_1 \vee C_2 \vee C_3 \vee C_4 \vee D
 \end{array} \\
 \Downarrow \\
 \begin{array}{c}
 \text{blue/red} \quad \text{blue/red} \quad \text{blue/red} \quad \text{red/red} \quad \text{blue/red} \quad \text{red/red} \\
 (x_1 \vee C_1) (x_3 \vee C_3) \quad (\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_3 \vee \bar{x}_4 \vee D) \\
 \hline
 \text{blue/red-HyRes}
 \end{array} \\
 \begin{array}{c}
 \text{red/red} \quad \text{red/red} \quad \text{red/red} \quad \text{red/red} \\
 (x_2 \vee C_2) (x_4 \vee C_4) \quad (\bar{x}_2 \vee \bar{x}_4 \vee C_1 \vee C_3 \vee D) \\
 \hline
 \text{red/red-HyRes} \\
 C_1 \vee C_2 \vee C_3 \vee C_4 \vee D
 \end{array}
 \end{array}$$



- Background
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- Colouring scheme can be relaxed!














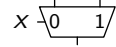








(colour lattice)

Each literal  $\ell$  in each clause coloured separately!





- Literals from  $A \setminus B$  must be coloured 
- Literals from  $B \setminus A$  must be coloured 
- Literals from  $A$  and  $B$ : Any colour  $\in \{$   ,  ,   $\}$

# Strength of Interpolants (Using Labelled Interpolation)

	<i>A</i> -local	<i>A/B</i> -shared	<i>B</i> -local	
strongest				$l_1$ $l_2$  $l_3$
		 ... 		$\Downarrow$
$\Downarrow$				$l_1$ $l_2$ $x$  $0$ $1$
		 ... 		$\Downarrow$
weakest				$l_1$ $l_2$  $l_3$

# Elimination of Non-Essential Literals (Using Labelled Interpolation)

- Enables elimination of *non-essential* literals

A-clause	B-clause
	

$$\frac{\begin{array}{ccc} \text{blue} & \text{blue} & \text{blue} \quad \text{blue} \\ (x_1 \vee C_1) [l_1] & (x_2 \vee C_2) [l_2] & (\bar{x}_1 \vee \bar{x}_2 \vee D) [l_3] \end{array}}{(C_1 \vee C_2 \vee D) \quad [(x_1 \vee l_1) \wedge (x_2 \vee l_2) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee l_3)]}$$

⋮ relabel

$$\frac{\begin{array}{ccc} \text{blue} & \text{blue} & \text{blue} \quad \text{blue} \\ (x_1 \vee C_1) [l_1] & (x_2 \vee C_2) [l_2] & (\bar{x}_1 \vee \bar{x}_2 \vee D) [l_3] \end{array}}{(C_1 \vee C_2 \vee D) \quad [l_1 \vee l_2 \vee l_3]}$$

- Base case (initial vertices):

- If  $C \in A$ :  $I \stackrel{\text{def}}{=} \text{“all literals } \ell \in C \text{ s.t. } L(\ell, v) = \text{red brush”}$

- If  $C \in B$ :  $I \stackrel{\text{def}}{=} \neg(\text{“all literals } \ell \in C \text{ s.t. } L(\ell, v) = \text{blue brush”})$

- Induction step (internal vertices):

$$\frac{(C_1 \vee x_1) [I_1] \quad \cdots \quad (C_n \vee x_n) [I_n] \quad (\bar{x}_1 \vee \cdots \vee \bar{x}_n \vee D) [I_{n+1}]}{\bigvee_{i=1}^n C_i \vee D \quad [I]}$$

if  $\bigwedge_{i=1}^n L(x_i) \sqcup L(\bar{x}_i) = \text{red brush}$   $I \stackrel{\text{def}}{=} \bigvee_{i=1}^{n+1} I_i$

if  $\bigwedge_{i=1}^n L(x_i) \sqcup L(\bar{x}_i) = \text{blue brush}$   $I \stackrel{\text{def}}{=} \begin{cases} \bigwedge_{i=1}^n (x_i \vee I_i) \wedge (I_{n+1} \vee \bigvee_{i=1}^n \bar{x}_i) \\ \text{or} \\ \bigvee_{i=1}^n (\bar{x}_i \wedge I_i) \vee (I_{n+1} \wedge \bigwedge_{i=1}^n x_i) \end{cases}$

if  $\bigwedge_{i=1}^n L(x_i) \sqcup L(\bar{x}_i) = \text{red brush}$   $I \stackrel{\text{def}}{=} \bigwedge_{i=1}^{n+1} I_i$

- Complete lattices induced by point-wise extension of:
  - Labelling function  $L : Nodes \rightarrow \{ \text{👉}, \text{👈}, \text{👉👈} \}$  for initial nodes
  - Choice  $\chi : Nodes \rightarrow \{1, 2\}$  of interpolation rule for internal nodes
- Enables
  - systematic variable of *strength* (using  $L, \chi$ )
  - elimination of *non-essential* literals (using  $L$ )
- Choice and labelling functions for hyper-resolution are *orthogonal*

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# Hyper-Resolution Proofs from First-Order Derivations

$$\begin{array}{c}
 \frac{y = x}{y \leq x} \quad \frac{y \neq 0 \quad \frac{z = y \&(y - 1)}{z \leq y - 1}}{z < y} \\
 \hline
 z < x \quad x = z \\
 \hline
 \text{false} \\
 \Downarrow \text{extract}
 \end{array}$$

$$\frac{(y = x) \quad (y \neq 0) \quad (z = y \&(y - 1)) \quad \overbrace{\left( (y = x) \vee (y \neq 0) \vee (z = y \&(y - 1)) \vee (z < x) \right)}^{\text{tautology}}}{z < x}$$

- Extract propositional hyper-resolution proofs from FOL derivations
  - Treat *closed/ground* FOL formulae as propositional atoms
- Colouring conditions impose restrictions on proof structure
  - c.f. [Jhala & McMillan TACAS'06] and [Kovács & Voronkov CADE'09]

- Generalises *labelled interpolation systems* to *hyper-resolution*
- Subsumes
  - existing propositional interpolation systems (Huang/Krajíček/Pudlák, McMillan)
  - first-order interpolation systems of [Kovács & Voronkov CADE'09] and [Weissenbacher 2010]and relates them in logical strength
- Enables systematic modulation of interpolants
  - variation of logical strength
  - elimination of non-essential literals